

TORUS ACTIONS ON $GL_r(k[x_1, x_2, \dots, x_n])$

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§ 1 Introduction and notations

Let k be an algebraically closed field of arbitrary characteristic. Let T be an n -dimensional algebraic torus, *i.e.* $T = G_m \times \dots \times G_m$ (n -times), where $G_m = \text{Spec}(k[t, t^{-1}])$ is the multiplicative group. Let $A = k[x_1, \dots, x_n]$ a polynomial ring. T acts effectively and semi-linearly on A . Let (E, φ_t) be a T -linearized equivariant vector bundle on $X = \text{Spec} A$. (See [2]). Let $E = \tilde{M}$ where M is an A -module. Then M is a free module by [2]. By the definition of T -linearized equivariant vector bundle (E, φ_t) , $\{\varphi_t\}$ satisfy the condition $\varphi_{t't} = \varphi_{t'} \cdot t'^* \varphi_t$. These correspond $\{\rho_t\}$ in $GL_r(A)$ such that

$$\rho_{t't}(x_1, x_2, \dots, x_n) = \rho_{t'}(x_1, x_2, \dots, x_n) \rho_t(t'x_1, t'x_2, \dots, t'x_n)$$

for every t, t' in T where $r = \text{rank}(E)$. We consider $\{\rho_t\}$ in the following section.

§ 2 Group cohomology

Let $\Xi = \Xi(T) = \text{Hom}_{k\text{-gr}}(T, G_m)$ be the additive group of characters of T . Ξ is a free \mathbb{Z} -module of rank $n = \dim T$.

THEOREM 2.1 *Let T be an n -dimensional torus. T acts effectively and semi-linearly on $A = k[x_1, x_2, \dots, x_n]$. Then $H^1_{gr}(T, GL_r(A)) = \Xi^{+r}$.*

To prove this theorem, we interpret the theorem down to earth, that is to say we may prove the following theorem 2.2.

THEOREM 2.2 *Let $\rho(t_1, t_2, \dots, t_n; x_1, x_2, \dots, x_n)$ be a matrix in $GL_r(k[t_1, t_1^{-1}, t_2, t_2^{-1}, \dots, t_n, t_n^{-1}, x_1, x_2, \dots, x_n])$ that satisfies the condition*

$$\begin{aligned} & \rho(t_1 t'_1, t_2 t'_2, \dots, t_n t'_n; x_1, x_2, \dots, x_n) \\ &= \rho(t_1, t_2, \dots, t_n; x_1, x_2, \dots, x_n) \rho(t'_1, t'_2, \dots, t'_n; t_1 x_1, t_2 x_2, \dots, t_n x_n). \end{aligned}$$

Then there exists a matrix $\sigma(x_1, x_2, \dots, x_n)$ in the $GL_r(k[x_1, x_2, \dots, x_n])$ such that

$$\rho(t_1, t_2, \dots, t_n; x_1, x_2, \dots, x_n) = \sigma(x_1, x_2, \dots, x_n) E \sigma(t_1 x_1, t_2 x_2, \dots, t_n x_n)^{-1}.$$

where E is a diagonal matrix whose diagonal elements are of the form $t_1^{p_1} t_2^{p_2} \dots t_n^{p_n}$ (p_i : integers).

To prove this theorem, we prepare some results. At first we prove the following proposition

which is a special case of theorem 2.2.

PROPOSITION 2.3 Let $\rho_1(t ; x)$ be a matrix in $GL_r(k[t, t^{-1}, x])$ such that

$$\rho_1(tt' ; x) = \rho_1(t ; x) \rho_1(t' ; tx).$$

Then there exists a matrix $\sigma_1(x)$ in $GL_r(k[x])$ such that

$$\rho_1(t ; x) = \sigma_1(x) E_1 \sigma_1(tx)^{-1}$$

where E_1 is a diagonal matrix whose diagonal elements are of the form t^{p_i} (p_i ; integers).

Proof. In the relation of ρ_1 we put $x = 1$ then we have

$$\rho_1(tt' ; 1) = \rho_1(t ; 1) \rho_1(t' ; t).$$

we write t, x in place of t', t respectively then we have

$$\rho_1(t ; x) = \rho_1(x ; 1)^{-1} \rho_1(tx ; 1).$$

But this is not defined at $x = 0$. For every S in $GL_r(k)$, we can write

$$\rho_1(x ; 1)^{-1} S = \sigma_1(x) E_1(x)^{-1}$$

where $\sigma_1(x)$ in $M_r(k[x])$, $\det \sigma_1(x) = x^d$ ($d \geq 0$) and $E_1(x)$ is a diagonal matrix whose diagonal elements are of the form x^{α_i} (α_i : integers). We take S in $GL_r(k)$ such that d is minimal. Then

$$\rho_1(t ; x) = \sigma_1(x) E_1(t) \sigma_1(tx)^{-1}.$$

If $d = 0$ then the proposition is proved. So we may assume $d \geq 1$. Furthermore we may assume that

$$E_1(t) = \text{diag} (t^{\alpha_1}, \dots, t^{\alpha_1}, t^{\alpha_2}, \dots, t^{\alpha_2}, t^{\alpha_3}, \dots)$$

$\underbrace{\hspace{10em}}_{p_1} \quad \underbrace{\hspace{10em}}_{p_2}$

where $\alpha_i \neq \alpha_j$ if $i \neq j$. $\text{diag} (a_1, a_2, \dots, a_n)$ means a diagonal matrix whose diagonal elements are a_1, a_2, \dots, a_n .

Since $d \geq 1$, there is a component of $\sigma_1(tx)^{-1}$ which has a denominator, say, it is in the m -th column. Then $\sigma_1(tx)^{-1}$ is of the form

$$\sigma_1(tx)^{-1} = \begin{pmatrix} \dots & \frac{a_1}{(tx)^q} + \dots & \dots \\ & \vdots & \\ & \vdots & \\ \dots & \frac{a_r}{(tx)^q} + \dots & \dots \end{pmatrix} \quad (a_i \in k)$$

where at least one of a_i is not zero. There is a scalar matrix H such that

$$H \sigma_1 (tx)^{-1} = \begin{pmatrix} \dots & \frac{a'_1}{(tx)^q} + \dots & \dots \\ \dots & 0 + \dots & \dots \\ & \vdots & \\ \dots & 0 + \dots & \dots \\ \dots & \frac{a'_{p_1+1}}{(tx)^q} + \dots & \dots \\ \dots & 0 + \dots & \dots \\ & \vdots & \end{pmatrix}$$

where at least one of a'_1, a'_{p_1+1}, \dots is not zero. Since H is of the form

$$\left(\begin{array}{ccc} * & & \\ & * & \\ & & \ddots \\ 0 & & & * \end{array} \right) \begin{array}{l} \} p_1 \\ \} p_2 \end{array}$$

we have $HEH^{-1} = E$. Therefore we may replace $\sigma_1 (tx)^{-1}$ by $H \sigma_1 (tx)^{-1}$ for

$$\begin{aligned} \sigma_1 (x) E_1 (x) \sigma_1 (tx)^{-1} &= (\sigma_1 (x) H^{-1}) HEH^{-1} (H\sigma_1 (tx)^{-1}) \\ &= (\sigma_1 (x) H^{-1}) E (H \sigma_1 (tx)^{-1}). \end{aligned}$$

Let (i, j) -component of $\sigma_1 (x)$ be $b_{ij}^{(0)} + b_{ij}^{(1)}x + b_{ij}^{(2)}x^2 + \dots$. Since $\rho_1 (t; x)$ is in $GL_r(k[t, t^{-1}, x])$, by expanding

$$\rho_1 (t; x) = \rho_1 (x; 1)^{-1} \rho_1 (tx; 1) = \sigma_1 (x) E_1 (t) \sigma_1 (tx)^{-1},$$

we know that $(tx)^{-q} (b_{i1}^{(0)} a'_1 t^{a_1} + b_{i, p_1+1}^{(0)} a'_{p_1+1} t^{a_2} + \dots)$ must be in $x^{-(q-1)}k[t, t^{-1}, x]$ for every i .

Since there is a non-zero a_i and since α_k are mutually different, $b_{i1}^{(0)}$ must be zero for every i . Therefore

$$\sigma_1 (x) = \tilde{\sigma}_1 (x) \begin{pmatrix} 1 & & & & \\ & \ddots & & & \\ & & 1 & & 0 \\ & & & x & \\ & & & & 1 \\ 0 & & & & & \ddots \\ & & & & & & 1 \end{pmatrix}$$

where $\tilde{\sigma}_1 (x)$ in $M_n(k[x])$ and $\det \tilde{\sigma}_1 = (\det \sigma_1) x^{-1}$. This contradicts the minimality of d . So d must be zero. Hence this σ_1 satisfies proposition 2.3. Q.E.D.

We next prove the key proposition but elementary.

PROPOSITION 2.4 *Let $A(x) = (a_{ij}(x))$ be in $GL_r(k[x])$, $E = \text{diag}(x^{\alpha_1}, x^{\alpha_2}, \dots, x^{\alpha_r})$ and $\tilde{E} = \text{diag}(x^{\beta_1}, x^{\beta_2}, \dots, x^{\beta_r})$ (α_i, β_j ; integers). If $E A(x) \tilde{E}^{-1} = A(1)$ then there is a scalar matrix H such that $\tilde{E} = HEH^{-1}$.*

Furthermore there is a scalar matrix \tilde{H} such that

$$\tilde{H} A(x) \tilde{H}^{-1} = \begin{pmatrix} * & & & & \\ & * & & & \\ & & a_{ij}(1) x^{e_{ij}} & & \\ & & & \dots & \\ & & & & * \\ 0 & & & & & * \end{pmatrix}$$

where e_{ij} is determined by α_k .

Proof. Since $\det E A(x) \tilde{E}^{-1} = \det A(1)$ is in k^* , $\sum_{i=1}^r \alpha_i = \sum_{j=1}^r \beta_j$. Furthermore since

$$E A(x) \tilde{E}^{-1} = (a_{ij}(x) x^{\alpha_i - \beta_j}) = A(1)$$

if $\alpha_i > \beta_j$ then $a_{ij}(x) = 0$ and if $\alpha_i \leq \beta_j$ then $a_{ij}(x) = a_{ij}(1) x^{\beta_j - \alpha_i}$.

For any j , if $\beta_j \neq \alpha_i$ for every i , then by above remark, elements of the j -column of $A(x)$ are of the form $a_{ij}(1) x^{\beta_j - \alpha_i}$ or 0. So by expanding $A(x)$ with respect to the j -column $\det A(x)$ is not in k^* . Hence $\beta_j = \alpha_i$ for some $i = i(j)$. If $\alpha_{m_1} = \dots = \alpha_{m_p} = \beta_{n_1} = \dots = \beta_{n_q}$ and $p \neq q$ then by the Laplace expansion of $A(0)$, we have $\det A(x) = \det A(0) = 0$. Hence $p = q$. Therefore there is a scalar matrix H such that $\tilde{E} = HEH^{-1}$. The second assertion is clear. Q.E.D.

Proof of THEOREM 2.2. We prove by induction on n . When $n = 1$, by PROPOSITION 2.3, the theorem is true. We assume that the theorem is true for $n - 1$. Then there is a matrix $\sigma(x_1, x_2, \dots, x_n)$ in $GL_r(k(x_n)[x_1, x_2, \dots, x_{n-1}])$ such that

$$\begin{aligned} & \rho(t_1, t_2, \dots, t_{n-1}, 1; x_1, x_2, \dots, x_n) \\ &= \sigma(x_1, x_2, \dots, x_n) E \sigma(t_1 x_1, t_2 x_2, \dots, t_{n-1} x_{n-1}, x_n)^{-1} \end{aligned}$$

where E is a diagonal matrix whose diagonal elements are of the form $t_1^{\alpha_{j1}} t_2^{\alpha_{j2}} \dots t_{n-1}^{\alpha_{jn-1}}$ (α_{ij} : integers). From now, we write $(x_1, x_2, \dots, x_{n-1})$, $(t_1, t_2, \dots, t_{n-1})$, $(t_1 x_1, t_2 x_2, \dots, t_{n-1} x_{n-1})$, $t_1^{\alpha_{i1}} t_2^{\alpha_{i2}} \dots t_{n-1}^{\alpha_{in-1}}$ by x, t, tx, t^{α_j} for convenient. We can take $\det \sigma(x, x_n) = \det \sigma(x_1, x_2, \dots, x_n)$ is in k^* . $\sigma(x, x_n)$ must be not defined at finite number of points $f = f_1, f_2, \dots, f_p$ of $\text{Spec}(k[x_n])$. So we take this $\sigma(x, x_n)$ such that the number of points f_1, f_2, \dots, f_p at which $\sigma(x, x_n)$ is not defined is minimal. We may regard that f_i are irreducible monic polynomials in $k[x_n]$. By multiplying some diagonal matrix in $GL_r(k[x_n])$ from right to $\sigma(x, x_n)$ we may assume that $\det \sigma(x, x_n) = cf^d$ where c in k^* and $\sigma(x, x_n)$ is defined at f and d is minimal positive integer.

Furthermore we can take E of the form

$$\text{diag}(\underbrace{t^{\alpha_1}, \dots, t^{\alpha_1}}_{p_1}, \underbrace{t^{\alpha_2}, \dots, t^{\alpha_2}}_{p_2}, \dots)$$

where $\alpha_i \neq \alpha_j$ if $i \neq j$. If $d \geq 1$ then there is a component of $\sigma(tx, x_n)^{-1}$ which has a denominator and is in the m -th column. Let (i, m) -component of $\sigma(tx, x_n)^{-1}$ be $\tau_i f^{-b} + \tau_i^{(1)} f^{-b} x_n + \dots$ where τ_i in $k[x, f_2^{-1}, \dots, f_p^{-1}]$ and $f \nmid \tau_i$. Furthermore we write $\tau_i = a_i^{(0)} + a_i^{(1)} x + \dots$ where $a_i^{(j)}$ are in $k[f_2^{-1}, \dots, f_p^{-1}]$ and at least one of $a_i^{(0)}$ is not zero because $\det \sigma(tx, x_n)^{-1}$ is independent of x .

So there is a matrix H in $GL_r(k(f_2, \dots, f_p^{-1}))$ such that

$$H \sigma(tx, x_n)^{-1} = \begin{pmatrix} \dots & \bar{a}_1 f^{-b} + \dots & \dots \\ \dots & 0 & + \dots & \dots \\ & & \vdots & \\ \dots & 0 & + \dots & \dots \\ \dots & \bar{a}_{p_1+1} f^{-b} + \dots & & \\ \dots & 0 & + \dots & \dots \end{pmatrix}$$

where $\bar{a}_1, \bar{a}_{p_1+1}, \dots$ are in $k(f_2^{-1}, \dots, f_p^{-1})$ and at least one of them is not zero. And we may assume that $f \nmid \bar{a}_j$ for every j . Since we can take H of the form

$$\left(\begin{array}{ccc|ccc} * & & & & & \\ \hline & * & & & & \\ & & \ddots & & & \\ & & & 0 & & \\ \hline & & & & & * \\ 0 & & & & & \end{array} \right) \begin{array}{l} \} p_1 \\ \} p_2 \end{array}$$

$HEH^{-1} = E$. Therefore we may replace $\sigma(tx, x_n)^{-1}$ by $H \sigma(tx, x_n)^{-1}$ for

$$\sigma(x, x_n) E \sigma(tx, x_n)^{-1} = (\sigma(x, x_n) H^{-1}) E (H \sigma(tx, x_n)^{-1}).$$

Let $\sigma(x, x_n) = (\sigma_{ij}(x, x_n))$. Since $\rho(x, 1; x, x_n)$ is in $GL_r(k[t_1, t_1^{-1}, \dots, t_{n-1}, t_{n-1}^{-1}, x_1, \dots, x_n])$, by expanding

$$\rho(t, 1; x, x_n) = \sigma(x, x_n) E \sigma(tx, x_n)^{-1},$$

we know that

$$f^{-b}(\sigma_{ij}(x, x_n) \bar{a}_1 t^{\alpha_1} + \sigma_{i p_1+1} \bar{a}_{p_1+1} t^{\alpha_2} + \dots)$$

must be in

$$f^{-(b-1)} k[t_1, t_1^{-1}, \dots, t_{n-1}, t_{n-1}^{-1}, x_1, x_n, f_2^{-1}, \dots, f_p^{-1}]$$

for every i . Since there is a non-zero a_l , and $\alpha_i \neq \alpha_j$ for $i \neq j$, $\sigma_{ij}(x, x_n)$ must be divided by f .

Therefore we have

$$\sigma(x, x_n) = \bar{\sigma}(x, x_n) \text{diag}(1, 1, \dots, 1, f^{\frac{1}{d}}, 1, \dots, 1)$$

where $\bar{\sigma}(x, x_n)$ is in $GL_r(k[x_1, x_2, \dots, x_n, f_2^{-1}, \dots, f_p^{-1}])$ and $\det \bar{\sigma}(x, x_n) = cf^{d-1}$.

This contradicts the minimality of d . Hence $d = 0$. Therefore $\sigma(x, x_n)$ is not defined only at f_2, \dots, f_p . This is a contradiction. So $\sigma(x, x_n)$ is in $GL_r(k[x, x_n])$.

By the same way we can take $\tau(x, x_n)$ in $GL_r(k[x, x_n])$ such that

$$\rho(1, t_n; x, x_n) = \tau(x, x_n) F \tau(x, t_n x_n)^{-1}$$

where F is a diagonal matrix whose diagonal elements are of the form t^{β_i} (β_i : integers). We take $\tau(x, x_n)^{-1} \rho(t, t_n; x, x_n) \tau(tx, t_n x_n)$ in place of $\rho(t, t_n; x, x_n)$. So we may assume that $\rho(1, t_n; x, x_n) = F$.

For this $\rho(t, t_n; x, x_n)$ we take $\sigma(x, x_n)$ in $GL_r(k[x, x_n])$, by the above way, such that

$$\rho(t, 1; x, x_n) = \sigma(x, x_n) E \sigma(tx, x_n)^{-1}.$$

Since

$$\begin{aligned}\rho(t, t_n; 1, 1) &= \rho(t, 1; 1, 1) \rho(1, t_n; t, 1) \\ &= \rho(1, t_n; 1, 1) \rho(t, 1; 1, t_n)\end{aligned}$$

we have

$$\sigma(1, 1) E \sigma(t, 1)^{-1} F = F \sigma(1, t_n) E \sigma(t, t_n).$$

Hence, by PROPOSITION 2.4, there is a scalar matrix H such that $H \sigma(t, t_n)^{-1} F^{-1} \sigma(t, 1) F H^{-1}$ is of the form

$$\begin{pmatrix} * & & & \# \\ & * & & \\ & & \ddots & \\ 0 & & & * \end{pmatrix}$$

where elements in $*$ are in $k[t_n, t_n^{-1}]$ and elements in $\#$ are $b_{ij}(t_n) t^{\gamma_{ij}}$ in $k[t, t_n, t_n^{-1}]$ and γ_{ij} are positive integers determined by α_i . So we may assume that $B(t, t_n) = \sigma(t, t_n)^{-1} F^{-1} \sigma(t, 1) F$ is above from. Thus we have

$$\rho(t, t_n; 1, 1) = \sigma(1, 1) E F B(t, t_n)^{-1} \sigma(t, t_n)^{-1}.$$

Hence

$$\begin{aligned}\rho(t, t_n; x, x_n) &= \rho(x, x_n; 1, 1)^{-1} \rho(tx, t_n x_n; 1, 1) \\ &= \sigma(x, x_n) B(x, x_n) E F B(tx, t_n x_n)^{-1} \sigma(tx, t_n x_n)^{-1}\end{aligned}$$

but this may not be defined at $x_i = 0$ ($i = 1, \dots, n$).

Since

$$B(0, x_n) E F B(0, t_n x_n)^{-1} = \sigma(0, x_n)^{-1} \rho(t, t_n; 0, x_n) \sigma(0, t_n x_n)^{-1}$$

we can take a matrix D in $GL_r(k[x_n, x_n^{-1}])$ and $B(0, x_n) D$ is of the form

$$\begin{pmatrix} * & & & 0 \\ & * & & \\ & & \ddots & \\ 0 & & & * \end{pmatrix}$$

by the same reason of PROPOSITION 2.3. Furthermore $B(x, x_n) D$ is same form of $B(x, x_n)$.

Since

$$(B(0, x_n) D)^{-1} (B(x, x_n) D) = \begin{pmatrix} 1 & & & \\ & 1 & & * \\ & & \ddots & \\ & & & 1 \\ 0 & & & \end{pmatrix}$$

there is a matrix $B'(x, x_n)$ in $GL_r(k[x, x_n])$ such that

$$\begin{aligned}C(x, x_n) &= B'(x, x_n) (B(0, x_n) D)^{-1} (B(x, x_n) D) \\ &= \begin{pmatrix} 1 & & & \\ & 1 & & c_{ij}(x, x_n) \\ & & \ddots & \\ & & & 1 \\ 0 & & & \end{pmatrix}\end{aligned}$$

where $c_{ij}(x, x_n)$ are 0 or in $k[x, x_n^{-1}]$ but in $k[x]$.

Since $C(x, x_n) EFC(tx, t_n x_n)^{-1}$ is in $GL_r(k[t, t^{-1}, t_n, t_n^{-1}, x, x_n])$, by seeing the term with respect to x_n , $C(x, x_n) EFC(tx, t_n x_n)^{-1}$ must be equal to EF . Then $\tilde{\sigma}(x, x_n) B'(x, x_n)^{-1} B(0, x_n) D$ satisfies THEOREM 2.2.

Q.E.D.

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